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(54) Identification system employing verification of fingerprints.

(57) The identification system comprises input means for collecting a fingerprint from the front end of a finger and converting the fingerprint to image data; an image memory for storing the image data; and means for storing the features of a plurality of fingerprints. The respective feature includes a plurality of feature points and the relationship between one of the feature points and the adjacent feature points. Furthermore, there are provided selecting means for selecting one of the features of the plurality of fingerprints; and identifying means for verifying the features of the image data obtained by the input means according to the feature of the fingerprint selected by the selecting means. This identification system is accurate, high reliable, inexpensive and suitable for controlling of entry to and exit from security areas and of commercial transactions.

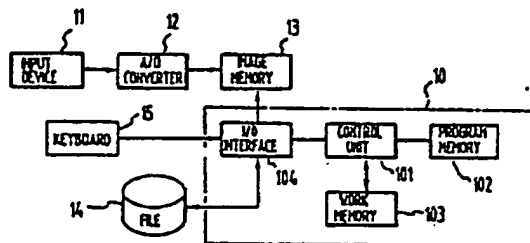


FIG. 1

IDENTIFICATION SYSTEM EMPLOYING  
VERIFICATION OF FINGERPRINTS

BACKGROUND OF THE INVENTION

The present invention relates to an identification system for discriminating a proper person by verifying features of his fingerprint.

A system for the identification of personnel is  
5 available in two kinds of control: one of them is the control of entry to and exit from security areas, and another is the control of commercial transaction. In the identification system for the former control, an ID number is given to a particular person instead  
10 of a mechanical key. When that person wishes to enter the security area, he inputs his ID number to the system by means of a keyboard or ID card. The system compares the ID number with the registered number to verify the identity of that person. The system permits that person  
15 to enter the security area if the predetermined correspondence exists between the ID number and the registered number. Such a system is disclosed in U.S. Patent No. 3,221,304. The identification system for the latter control is employed in the commercial  
20 transactions through banks or the like by means of credit cards. The personal identity is confirmed in the same

manner as in the former control, and then, the system permits the transaction. Such a system is disclosed in U.S. Patent No. 3,731,076.

5       However, because the ID number is used to identify a person, these systems often permits improper users to entry to and exist from the security area or the commercial transactions. Particularly in these days, trouble caused by the unlawful use of credit cards have been increased with the increase in credit transactions.  
10       Therefore, a reliable inexpensive identification system is desired.

#### SUMMARY OF THE INVENTION

          Therefore, an object of the present invention is to provide an accurate, highly reliable and inexpensive  
15       identification system suitable for controlling of entry to and exit from security areas and of commercial transaction.

          The identification system according to the present invention comprises input means for collecting a  
20       fingerprint from the front end of a finger and converting the fingerprint to image data; an image memory for storing the image data; means for storing the features of a plurality of fingerprints, the feature including a plurality of feature points and the relationship  
25       between one of the feature points and the adjacent

feature points; selecting means for selecting one of  
the features of the plurality of fingerprints; and  
identifying means for verifying the features of the  
image data obtained by the input means according to  
5 the feature of the fingerprint selected by the selecting  
means.

BRIEF DESCRIPTION OF THE DRAWING

The above-mentioned and other objects, features and  
advantages of the present invention will be better  
10 understood from the following detailed description  
of preferred embodiments of the present invention taken  
in conjunction with the accompanying drawings, wherein:

Fig. 1 is a block diagram of an identification  
system according to a first embodiment of the present  
15 invention.

Fig. 2(a) illustrates pattern features extracted  
from a fingerprint employed in the identification system  
shown in Fig. 1.

Fig. 2(b) illustrates a registered recording form  
20 of the features of a fingerprint stored in a storing  
means shown in Fig. 1.

Fig. 3 shows an image data of a fingerprint stored  
in an image memory shown in Fig. 1.

Fig. 4(a) shows the manipulation for identifying  
25 an image data of a fingerprint according to the present  
invention.

Fig. 4(b) illustrates the scanned data obtained through the manipulation shown in Fig. 4(a).

Fig. 5(a) shows the manipulation for detecting a related feature point according to the present invention.

5 Fig. 5(b) illustrated the scanned data obtained through the manipulation shown in Fig. 5(a).

Fig. 6 is a block diagram of an identification system according to a second embodiment of the present invention.

10 Fig. 7 is a block diagram of an identification system according to a third embodiment of the present invention.

Fig. 8 is a block diagram of an identifying system according to a fourth embodiment of the present invention.

15 Fig. 9 is a block diagram of an identifying system according to a fifth embodiment of the present invention.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to Fig. 1; an identification system according to a first embodiment of the present invention  
20 comprises a keyboard 15, a fingerprint input device 11, an A/D (Analog to Digital) converter 12, an image memory 13, identifying processor 10 and a file such as a magnetic disk drive 14. Each of them is connected to each other by signal lines for transmitting and receiving  
25 various data as shown in the drawing.

The fingerprint input device 11 produces a two-dimensional photoelectrically converted image of a fingerprint pattern from the front end of a finger.

The input device 11 includes photographing means and  
5 an illuminator for collecting the fingerprint pattern by scanning the rear surface of a glass plate where a finger is impressed on the front surface, and utilizing the optical boundary conditions of the glass. Such a fingerprint input device 11 is disclosed in  
10 Japanese Patent Disclosure Nos. 69300/1979 and 85600/1979.

The photoelectrically converted image from the fingerprint input device 11 is quantified into binary picture element signals by the A/D converter 12 and stored in the image memory 13. Since the A/D converter  
15 12 and the image memory 13, known in the art, are usable for this system, more detailed description thereof is omitted.

An ID code allotted to each of registered persons is entered from the keyboard 15. The file 14 stores  
20 features of registered fingerprint, i.e., the positions of feature points (ending and bifurcation points, etc.) of the fingerprint pattern and the relationship between one of the feature points and the adjacent feature points corresponding to the ID code. The ID code from  
25 the keyboard 15 is converted to an address indicating the storing position of the file 14 by an input/output

interface 104. The data read out from the file 14 is loaded into the work memory 103 of the identifying processor 10 through the input/output interface 104. A control unit 101 checks the corresponding relation  
5 between the two-dimensionally quantified image data stored in the image memory-13 and the features of the fingerprint stored in the work memory 103 according to the program stored in a program memory 102.

Referring to Figs. 2(a) and 2(b), the pattern  
10 features of the registered fingerprint stored in the file 14 will be described.

A streaked pattern is extracted from a registered fingerprint image. The streaks correspond to ridges of the fingerprint. The streaked pattern is thinned  
15 by a thinning unit so as to converting a skelton pattern as shown in Fig. 2(a). The skelton pattern should have several feature points  $M_i$  of at least one type, such as an abrupt ending of a skelton, a bifurcation of a skelton and/or a crosspoint of two or more skeltons.  
20 These feature points  $M_i$  are usually called minutiae. Each of the feature points  $M_i$  is provided serial number  $m_i$ .

Positions  $(X_i, Y_i)$  and directions  $D_i$  of respective feature points  $M_i$  are detected from the skelton pattern.  
25 Further, the relationships  $R_j$  between a reference feature point  $M_i$  and adjacent (related) feature points  $M_j$  are

defined for each of the feature points  $M_i$ . Each of the relationships  $R_j$  comprises the serial number  $m_j$  of the related feature point  $M_j$  and the number of intersection points  $r_j$  of the transverse vector  $V_j$ , which is drawn from the reference feature point  $M_i$  to the related feature point  $M_j$ , with the inverting skeltons.

That is, with respect to a feature point  $M_0$ , a position  $(X_0, Y_0)$ , a direction  $D_0$  of the feature point  $M_0$  and relationships  $R_1, R_2, R_3$  and  $R_4$  to related feature points  $M_1, M_2, M_3$  and  $M_4$  are defined. The relationships  $R_1, R_2, R_3$  and  $R_4$  includes the number of intersection points  $r_1, r_2, r_3$  and  $r_4$  of transverse vectors  $V_1, V_2, V_3$  and  $V_4$  with inverting skeltons connecting the serial numbers  $m_1, m_2, m_3$  and  $m_4$  of the feature points  $M_1, M_2, M_3$ , and  $M_4$ . The transverse vectors  $V_1, V_2, V_3$  and  $V_4$  are drawn from the reference feature point  $M_0$  to the related feature points  $M_1, M_2, M_3$  and  $M_4$ .

The feature points  $M_i$  and the position  $(X_i, Y_i)$ , the direction  $D_i$  and the relationships  $R_j$  to the related feature points  $M_j$  of each of the feature points  $M_i$  are extracted from the skelton pattern shown in Fig. 2(a) at the time of the registration of a fingerprint.

The file 14 stores the data in the form of a list based on each of the feature points  $M_i$  as shown in Fig. 2(b). The detailed definition and the extracting method about the features of the fingerprint is described in U.S. Patent No. 4,310,827.



Fig. 3 shows image data 30 stored in the image memory 13. The identifying processor 10 verifies the image data 30 in accordance with the features of the registered fingerprint loaded in the work memory 103, that is, the processor 10 checks whether the streaked pattern of the image data 30 has the registered feature point  $M_i$  and the relations  $R_j$  for some or every feature points.

- Referring to Figs. 4(a), 4(b), 5(a) and 5(b) the identifying process is carried out by the identifying processor 10 in the following manner.

- The control unit 101 sets up an objective area 41 on the image data 30 corresponding to a feature point  $M_i$  read out from the work memory 103. Subsequently, with the position  $(X_i, Y_i)$  of the feature point  $M_i$  as an original point, the control unit 101 sets up a plurality of scanning lines 411, .... 412, 413, 414, 415, 416, .... and 417 intersecting the direction  $D_i$  of the feature point  $M_i$  at a right angle. By scanning the objective area 41 through the scanning lines 412, 413, .... and 416, scanned data 422, 423, ..... and 426 will be obtained as shown in Fig. 4(b).

A feature point (abrupt ending point)  $M_n$  of the streaked pattern is detected within the local area 41 by determining the correspondences between pattern edges of the scanned data as shown by dotted lines in Fig. 4(b).

The direction  $D_n$  of the feature point  $M_n$  is determined by averaging the angles of the dotted lines connecting pattern edges of the feature point  $M_n$  with respect to the scanning lines 422 to 426 and/or the angles of the dotted lines close to the feature point  $M_n$ .

The feature point  $M_n$  in the objective area 41 is regarded as a candidate corresponding point to the registered feature point  $M_1$ . Then, the differences in the positional displacement and the direction are corrected between the detected feature point  $M_n$  and the registered feature point  $M_1$ .

Subsequently, one of the plurality of relationships  $R_j$  concerned with the feature point  $M_1$  is read out to set up the next objective area with the position  $(X_j, Y_j)$  of the related feature point  $M_j$  as an original point on the image data 30. As shown in Fig. 5(a), a feature point  $M'_n$  of the streaked pattern is detected in the next objective area in the same manner.

The feature point  $M'_n$  is considered as a candidate related corresponding point to the registered related feature point  $M_j$ . A transverse vector 53 is drawn from the feature points  $M_n$  to  $M'_n$ . Then, the number of points of intersection of the transverse vector 53 with the inverting streaks is counted by means of the scanned data 54 shown in Fig. 5(b). That number "3" is compared with the registered number of intersection points  $r_j$  in

the relation  $R_j$  for checking whether the candidate feature points  $M_n$  and  $M_n'$  correspond to the registered feature points  $M_i$  and  $M_j$ .

The control unit 101 effects the above-described  
5 process for all related feature points  $M_j$  of the plurality of relationships  $R_j$  with the feature point  $M_n (= M_i)$  as a reference point. Furthermore, the control unit 101 performs the similar verifying process with the related feature points  $M_j$  as a reference point. In this way,  
10 the control unit 101 checks whether the image data 30 includes a plurality of registered feature points  $M_i$  and judges correspondence between the streaked pattern of the image data 30 and the registered fingerprint.

A specialized processor may be used for the  
15 identifying processor 10 for only the above-described processing purposes, while a general purpose processor is also available because the identifying process is realized only by linear scanning of the objective area in the image memory 13, and comparing the streaked  
20 pattern with the registered feature point.

Thus, the identifying processor 10 verifies linearly scanned image data of the fingerprint from the input means through a simple process by using the pattern features of one of the registered fingerprints selected  
25 by the ID code. Therefore, the identity of a proper person can be confirmed with high accuracy.

Next the system configuration will be described.

In the first embodiment, the fingerprint input device 11, the A/D converter 12, the image memory 13, the keyboard 15, the identifying processor 10 and the file 14 are  
5 incorporated in one terminal unit to form an identification system. Accordingly, the identification of a person is effected by one stand-alone terminal unit.

A second embodiment of the identification system shown in Fig. 6 comprises an identifying processor 10' -  
10 provided on the center side and a plurality of terminal units 20-1 to 20-n each connected to the central processor 10' through a transmission line 16. The file-  
14 is provided on the central processor 10'.

Each of the terminal units 20-1 to 20-n comprises  
15 a keyboard 15, a fingerprint input device 11, an A/D converter 12 and an image memory 13. The central processor 10' comprises an external interface 104, a control unit 101, a program memory 102, a work memory 103 and an image memory 105, each components being  
20 coupled to each other by signal lines for transmitting and receiving various data.

The function of each of these components is the same as those of the first embodiment. Each of the terminal units 20-1 to 20-n, for instance, 20-1 is used  
25 to convert the image data stored in the image memory 13 and the ID code obtained from the keyboard 15 to

transmission data according to the known protocol and transmit the data to the identifying processor 10' through the transmission line 16. The image data is stored in the image memory 105 of the central processor 10', whereas the ID code is converted to an address to access the file 14. The pattern features read out from the file 14 is held in the work memory 103. The process thereafter is the same as the first embodiment except for the image memory 105 instead of the image memory 13. An identification result is reported to the terminal unit 20-1.

In this embodiment of the system, one identifying processor and the file 14 are capable of controlling the plurality of terminal units 20-1 to 20-n.

15       An third embodiment of the identification system shown in Fig. 7 comprises a central unit 17 having a file 14 and a plurality of terminal units 21-1 to 21-n connected to the central unit 17 through the transmission line 16.

20       Each of the terminal units 21-1 to 21-n comprises a keyboard 15, a fingerprint input device 11, an A/D converter 12, an image memory 13, an external interface 104 and an identifying processor 10. The identifying processor 10 comprises a control unit 101, a program  
25       memory 102 and a work memory 103, these components being connected to each other by the signal line for transmitting

and receiving various data. The functions of these component are the same as those of the first embodiment except that the central unit 17 has an input/output interface 171 having functions of address conversion  
5 in accordance with the ID code.

Each of the terminal units 21-1 to 21-n, for instance, 21-1 is used to store the image data entered from the fingerprint input device 11 in the image memory 13 and transmit an ID code entered from the keyboard 15 to the  
10 central unit 17 through the transmission line 16. The I/O interface 171 of the central unit 17 converts the ID code to an address for accessing the file 14. The pattern features of the registered fingerprint is read out from the file 14, and then, transmitted to the  
15 terminal unit 21-1.

The terminal unit 21-1 stores the pattern features of the registered fingerprint in the work memory 103 to compare the pattern features with the image data of the image memory 13. The process for identification is the  
20 same as the first embodiment.

In this embodiment, a plurality of the pattern features of registered fingerprints can be stored in the central file 14 commonly to the plurality of terminal units 21-1 to 21-n.

25 Referring to Fig. 8, an identification system according to a fourth embodiment of the present invention

necessitates no ID code input device such as a keyboard nor file for storing the pattern features of registered fingerprints such as a disk drive.

The identification system comprises a card reader 18  
5 for reading data from a memory card 19, the fingerprint  
input device 11 for collecting a photoelectrical image  
of a fingerprint from the front end of the finger, an  
A/D converter 12, an image memory 13 and an identification  
processor 10. The identifying processor 10 includes  
10 a control unit 101, a program memory 102, a work memory  
103 and an input/output interface 104.

A fingerprint registrant holds the memory card 19  
wherein the pattern features of his fingerprint, that is,  
the feature points and the relationships of the feature  
15 points of the streak pattern of his fingerprint, are  
recorded in the same form shown in Fig. 2(b). The  
fingerprint registrant inserts the memory card 19 to  
the card reader 18 and inputs his fingerprint image by  
means of the fingerprint input device 11. The fingerprint  
20 image is stored to the image memory 13 in a binary form.  
The card reader 18 reads the pattern features of his  
fingerprint from the memory card 19 and stores the  
pattern features to the work memory 103. The control  
unit 101 verifies the fingerprint image stored in the  
25 image memory 13 according to the pattern features of the  
work memory 103 in the same manner as the first embodiment.

In this embodiment, the fingerprint input device 11, the A/D converter 12, the image memory 13, the card reader 18 and the identifying unit 10 are incorporated in a stand-alone terminal unit.

5       A fifth embodiment of the identification system shown in Fig. 9 comprises an identifying processor 10' on the center side and a plurality of terminal units 22-1 to 22-n connected to the identifying processor 10' through the transmission line 16.

10       Each of the terminal units 22-1 to 22-n comprises the card reader 18 for reading the data stored in the memory card 19, the fingerprint input device 11 for collecting a fingerprint image from the front end of the finger, an A/D converter 12 and an image memory 13.

15       The central identifying processor 10' comprises a control unit 101, a program memory 103, a work memory 103, an input/output interface 104 and an image memory 105.

The image memory 105 holds the image data sent through the transmission line 16. The functions of the other  
20 components are the same as the fourth embodiment of the invention.

Each of the terminal units 22-1 to 22-n, for instance, 22-1 converts the image data stored in the image memory 13 and the pattern features of the registered fingerprint  
25 obtained from the card reader 18 to transmission data and send the data to the central processor 10' through



the transmission line 16. The image data is stored to the image memory 105 and the pattern features of the registered fingerprint is stored to the work memory 105. The control unit 101 identifies the image data in the  
5 image memory 105 referring to the pattern features of the registered fingerprint in the work memory 103 in the same manner to the first embodiment. An identification results is sent back to the terminal unit 22-1.

In this embodiment, the identification of the  
10 plurality of image data from the plurality of terminal units 22-1 to 22-n can be completed by one common central processor 10'.

As described above, according to the present invention, the identification of proper personnel can be realized  
15 with high reliability since the system descriminates a personnel by verifying the streaked pattern of his fingerprint. The system can be installed inexpensive and compact because the processor verifies the fingerprint image referring only one registered pattern  
20 features. The identification system, for instance, can be applied to a cash card system for transactions relating to deposits and savings.

What is claimed is:

1. An identification system comprising;

input means for collecting a streaked pattern of a fingerprint from the surface of a finger and converting said streaked pattern to image data;

5 an image memory for holding said image data;

storing means for recording pattern features of a plurality of registered fingerprints, said pattern feature including a plurality of feature points and relationships between said feature points;

10 selecting means for selecting one of said pattern features corresponding to one of said registered fingerprints; and

identifying means for verifying the features of said image data referring to said one of said pattern features selected by said selecting means.

2. The identification system as claimed in Claim 1, wherein said storing means includes a file means for collectively storing a plurality of pattern features of said registered fingerprints, and said selecting

5 means includes means for inputting an ID code allotted to a fingerprint registrant, said one of said pattern features being selected in said file means in accordance with said ID code.

3. The identification system as claimed in Claim 1 or 2, wherein said input means, said image memory, said storing means, said selecting means and said identifying means constitute one terminal unit.

4. The identification system as claimed in any of Claims 1 to 3, further comprising:

a plurality of terminal units each having said input means, said image memory and said selecting means;

5 a central unit having said storing means and said identifying means; and

a transmission line for connecting said plurality of terminal units and said central unit.

5. The identification system as claimed in any of Claims 1 to 3, further comprising:

a plurality of terminal units each having said input means, said image memory, said selecting means and

5 said identifying means;

a central unit having said storing means; and

transmission lines for connecting said plurality of terminal units and said central unit.

6. The identification system as claimed in any of Claims 1 to 5, wherein said storing means includes memory cards respectively held by a plurality of fingerprint registrant for respectively recording said pattern

5 feature corresponding to said fingerprint registrant,  
and said selecting means includes card reader means for  
reading said memory card, said one of said pattern  
features being read from said recording card by said  
card reader means and given to said identifying means.

7. The identification system as claimed in Claim 6,  
wherein said input means, said image memory, said  
card reader means and said identifying means constitute  
one terminal unit.

8. The identification system as claimed in Claim 6,  
further comprising:

a plurality of terminal units each having said  
fingerprint input means, said image memory and said card  
5 reader means;

a central unit having said identifying means; and  
transmission lines for connecting said plurality of  
terminal units and said central unit.

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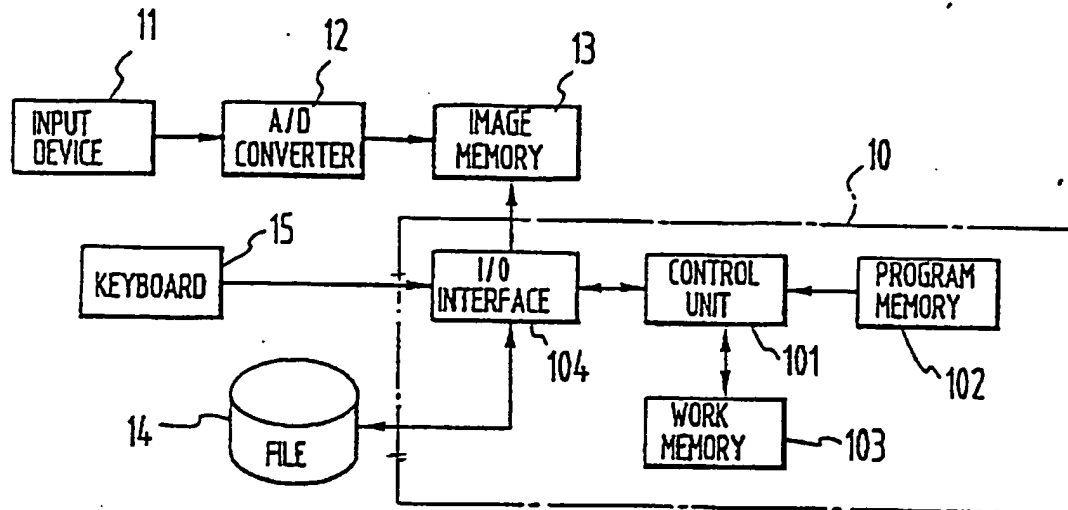


FIG. 1

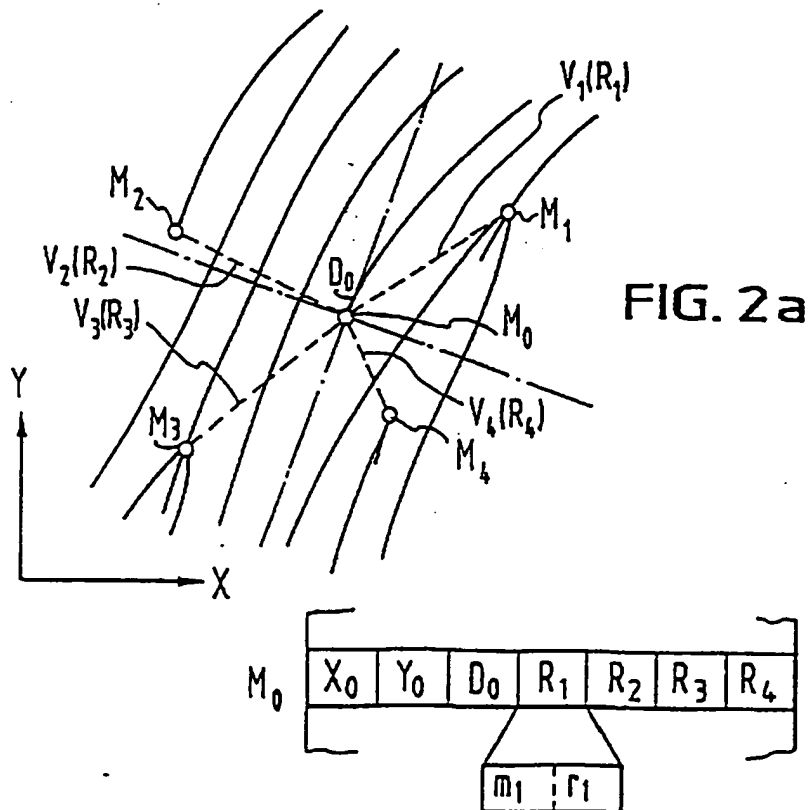


FIG. 2b

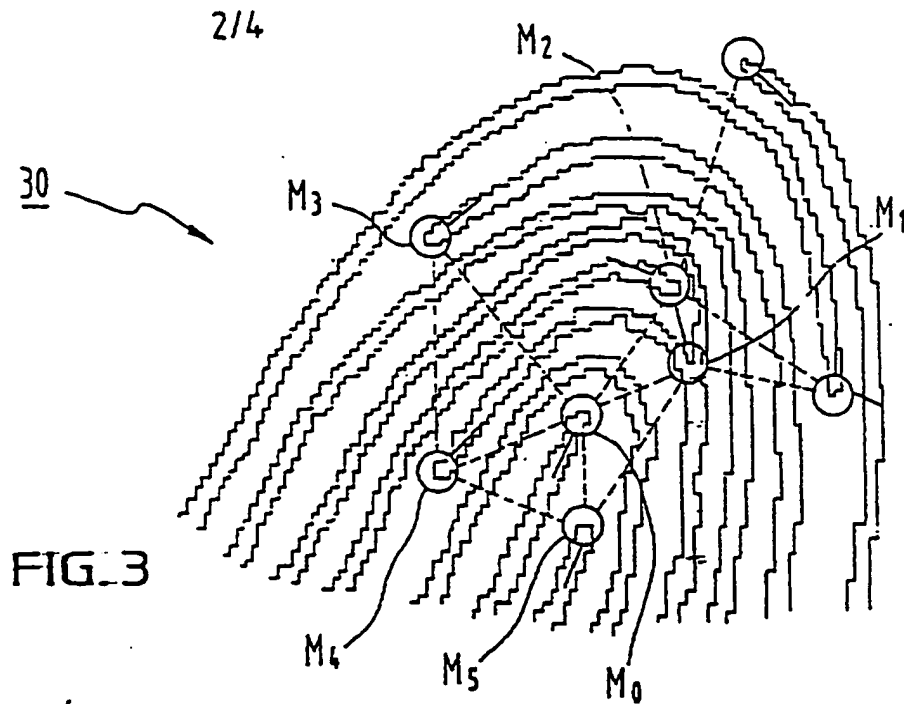


FIG. 3

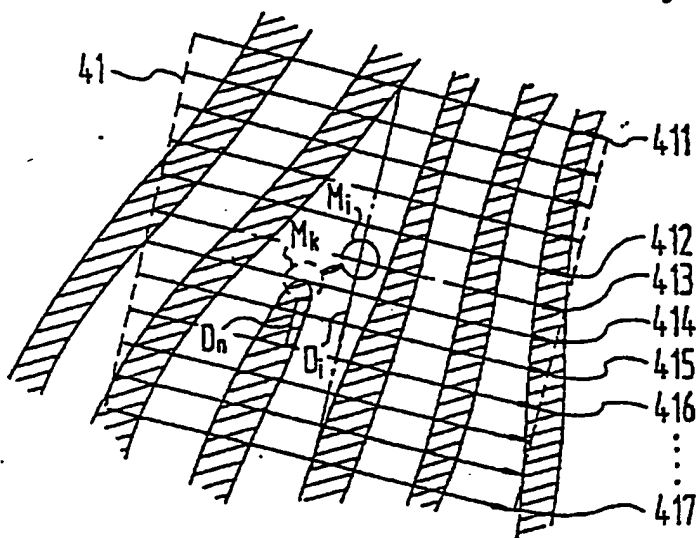


FIG. 4a

FIG. 4b

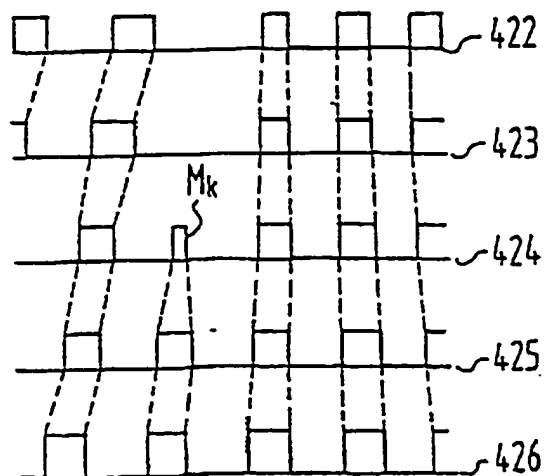


FIG. 5a

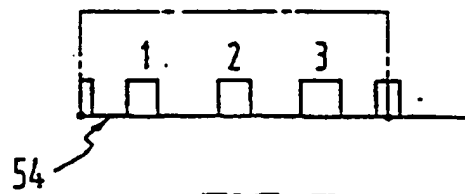
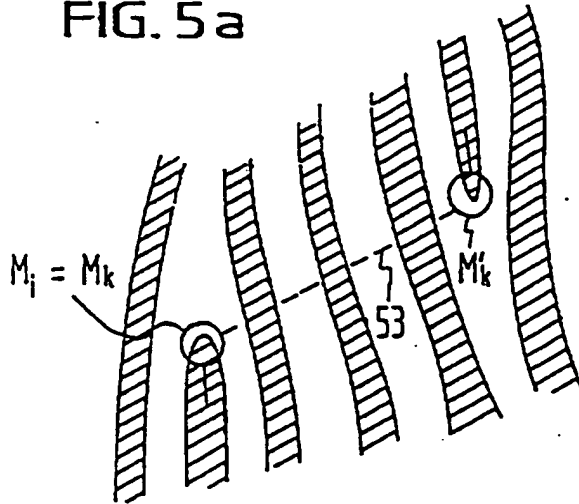


FIG. 5b

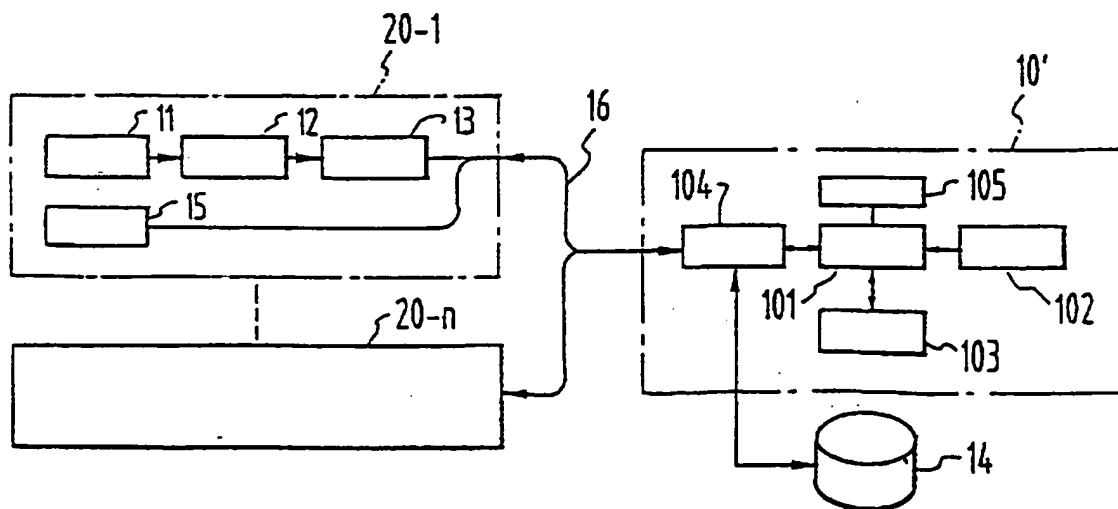


FIG. 6

FIG. 7

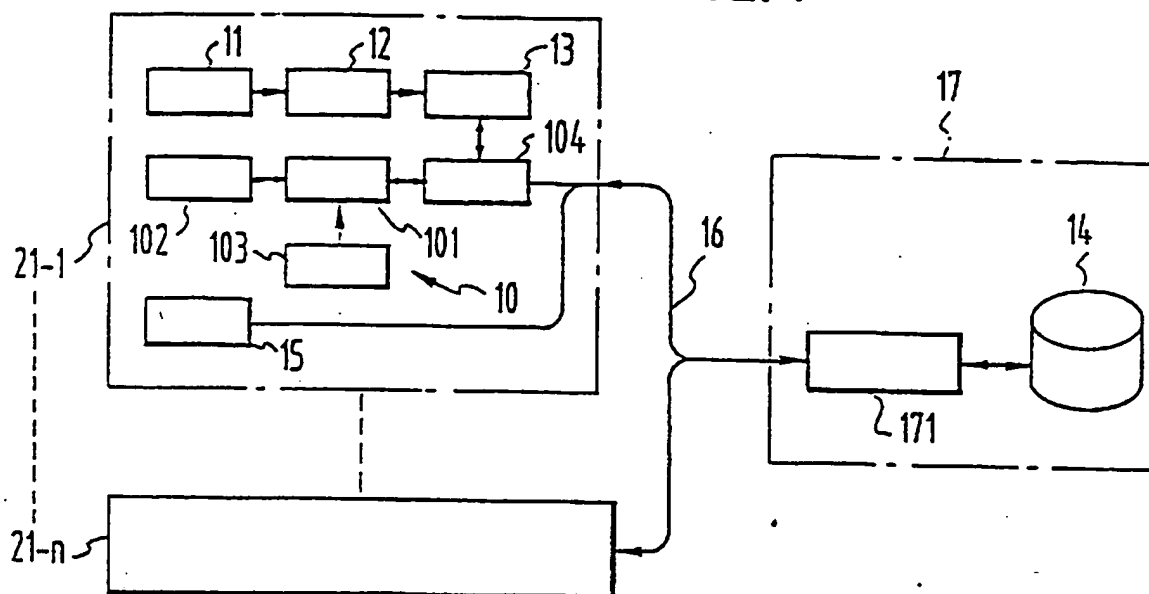


FIG. 8

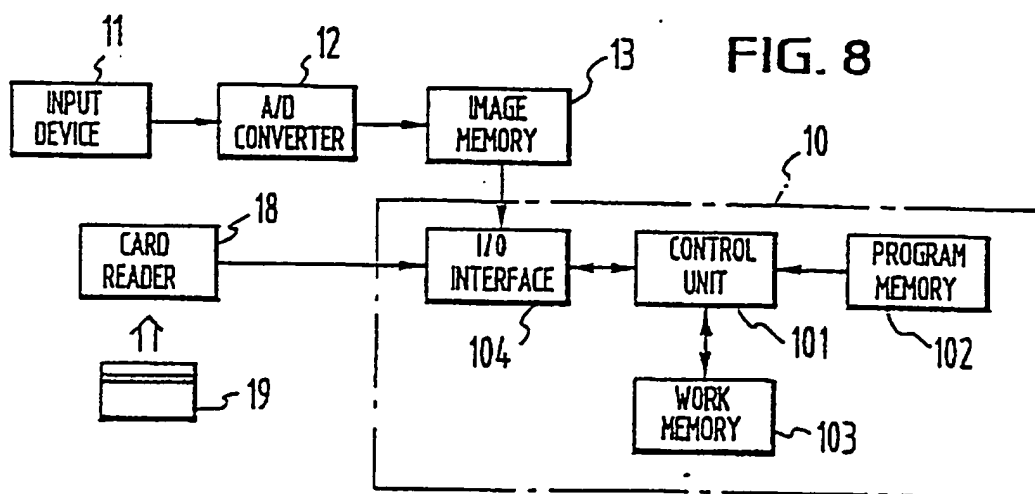


FIG. 9

